An Outline of Governance from a Qur’anic Perspective

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Summarized and Paraphrased by Karim Aghili

This paper is an attempt to partially delineate the salient features of Shi‘ite political thought. It seeks to clarify that Islam is an all-embracing religion consisting of a set of laws and injunctions which are requisites for the establishment of an ideal society. The paper presents a brief discussion about governance during the period of occultation of the twelfth Imam, the role of the supreme Leader and the essential qualifications for administrators of the law, especially for the one at the top of the pyramid of power.

Democracy and the Vicegerency of God

From the Islamic point of view, the goal of law is not only to bring about social order and discipline, but also to establish social justice, because firstly, without justice the order would not be durable and the masses of the people would not tolerate injustice and
oppression forever; and secondly, in a society not governed by justice most people would not have the opportunity for desired growth and development and hence the goal of man's creation and social life would not be realised.

The main question is how and by whom the law should be legislated. The accepted theory in most current societies is that the laws should be legislated and approved by the people themselves or their representatives. Since the consensus of all of the people or of their representatives is practically impossible, the view of the majority (even if merely half plus one) is the criteria for the validity of the law.

This view, first of all, is based on the idea that the goal of secular law is to satisfy the people's desires but not to provide that which would truly benefit them. In other words, the goal of secular law is not to secure what is truly in the people's best interest and what is in conformity with their felicity. Secondly, since it is impossible to have unanimous agreement, we should content ourselves with the opinion of the majority. However, the first idea mentioned is not accepted by Islam, for many people wish to satisfy their bestial instincts and temporary lusts without thinking of their disastrous consequences. Usually the number of such people is at least one half plus one, so the social laws would be dictated by the desires of such people. It is obvious that the schools which believe in a goal
beyond animal lust and base desire will not be able to condone this idea.

With regard to the second idea, that is, the validity of the vote of the majority in the absence of unanimity, it should be said that only in absence of a deciding divine and intellectual criterion can the majority be the criterion for preferring an opinion. However, in the Islamic system there do exist such divine and intellectual criteria. In addition, a powerful minority, by using the facilities for widespread propaganda, has an important role in channelling the thoughts and beliefs of others, and in fact what is approved is only the desire of a limited but powerful minority, not the true desire of the majority of all the people. Furthermore, if the criterion is that the people's choice would be valid for themselves, why should we not also accept the choice of a minority as valid for itself, even if it would result in a type of autonomy? In this case, what would be the logical justification for governments to oppose the wishes of some social groups which they rule by force?

It is worth noting that the many Qur’anic verses that refer to the vicegerency (khilafah) of man indicate that istikhlaf (appointing as successor on earth) in the sense of rule is strictly restricted in its scope of usage and does not include all humans:

God has promised those of you who have faith and do righteous deeds that He will surely make them
successors in the earth, just as He made those who were before them successors, and He will surely establish for them their religion which He has approved for them, and that He will surely change their state to security after their fear, while they worship Me, not ascribing any partners to Me. And whoever is ungrateful after that —it is they who are the transgressors. (24:55).

**Islam, an All-embracing Religion**

Islam holds that law should be legislated in such a way that they procure the benefits of the members of society, particularly those who desire to improve themselves and to gain eternal felicity. It is obvious that such law should be legislated by one who has enough knowledge about the real and eternal benefits of humans and secondly, who does not sacrifice the benefits of others for his personal interests and vain desires. It is obvious that there is no one wiser than Almighty God, Who has no need of His servants or their works, and Who has provided divine legislation only for the sake of benefitting His servants. Certainly, the social laws described in the Qur’an do not explicitly state all the social rules which are necessary for every time and place, but the Islamic Law does provide a general framework for the derivation of regulations necessary for changing conditions of time and place, and, at least by observing the limits
delineated by this framework it may be possible to avoid falling into
the deadly valley of eternal perdition.

The sacred Law of Islam as an all-embracing religion is
providentially destined for the whole of humanity in all times and
places, and with regard to the legal issues including the designation
of the legislator, the judge and the executor, all should be
congruous with the laws and injunctions as laid down in the religion
of Islam. In this regard, the Qur’an says:

So if they argue with you, say, ‘I have submitted my
will to God, and [so has] he who follow me.’ And say
to those who were given the Book and the
uninstructed ones, ‘Do you submit?’ If they submit,
they will certainly be guided; but if they turn away,
then your duty is only to communicate; and God sees
best the servants. (3:20)

There are some other verses which indicate that the differences
between the members of a community should be settled by having
recourse to the Scripture and the Law of God as was the case with
the divinely-appointed prophets preceding the Prophet of Islam.
For example, the Qur’an says:

Mankind were a single community; then God sent
the prophets as bearers of good news and as warners,
and He sent down with them the Book with the
truth, that it may judge between the people concerning that about which they differed, and none differed in it except those who had been given it, after the manifest proofs had come to them, out of envy among themselves. Then God guided those who had faith to the truth of what they differed in, by His will, and God guides whomever He wishes to a straight path. (2:213)

On the other hand, those who claim to have the right to make laws of their own are reproved by God Almighty. For example, the Qur’an says:

Say, ‘Have you regarded what God has sent down for you of [His] provision, whereupon you made some of it unlawful and [some] lawful?’ Say, ‘Did God give you the sanction [to do so], or do you fabricate a lie against God?’ (10:59)

Do not say, asserting falsely with your tongues, ‘This is lawful, and this is unlawful,’ to fabricate lies against God. Indeed those who fabricate lies against God will not be felicitous. (16:116).

There are some other verses which indicate that the Prophet of Islam was emphatically commanded by God to follow the Divine
Revelation only and at the same time, he was strictly prevented from following others’ views:

… Say, ‘Indeed it is the guidance of God which is the [true] guidance.’ And should you follow their desires after the knowledge that has come to you, you will not have against God any guardian nor any helper. (2:120)

We have sent down to you the Book with the truth, confirming what was before it of the Book and as a guardian over it. So judge between them by what God has sent down, and do not follow their desires against the truth that has come to you. For each [community] among you We had appointed a code [of law] and a path, and had God wished He would have made you one community, but [His purposes required] that He should test you in respect to what He has given you. So take the lead in all good works. To God shall be the return of you all, whereat He will inform you concerning that about which you used to differ. (5:48)^2

The Necessity of obeying the Holy Prophet
At this point, we will refer to certain verses which relate to the holy Prophet of Islam and the necessity of obedience to him. These verses can be divided into three categories:

1. The verses which indicate that unconditional obedience to the Messenger of God is obligatory, such as:

   … and whoever obeys God and His Apostle, He will admit him into gardens with streams running in them, and whoever refuses to comply, He will punish him with a painful punishment. (48:17)

   These are God’s bounds, and whoever obeys God and His Apostle, He shall admit him to gardens with streams running in them, to remain in them [forever]. That is the great success. (4:13)³

2. The verses which reprove those who disobey and oppose the Prophet, such as:

   Say, ‘Obey God and the Apostle.’ But if they turn away, indeed God does not like the faithless. (3:32).

   But whoever disobeys God and His Apostle, and transgresses the bounds set by God, He shall make him enter a Fire, to remain in it [forever], and there will be a humiliating punishment for him. (4:14)⁴
3. The verses which are on the rule and judgement of the Prophet and which specify that obedience to his rule and judgement is obligatory. For example, the Qur’an says:

> But no, by your Lord! They will not believe until they make you a judge in their disputes, then do not find within their hearts any dissent to your verdict and submit in full submission. (4:65)

**The Rule of ulu’l-amr (those vested with authority)**

In the Qur’an, the necessity of obedience to those other than the Prophet has been mentioned, and they are called *ulu’l-amr* in the language of the Qur’an:

> O you who have faith! Obey God and obey the Apostle and those vested with authority among you. And if you dispute concerning anything, refer it to God and the Apostle, if you have faith in God and the Last Day. That is better and more favourable in outcome. (4:59)

This verse obliges the Muslims to two types of obedience: First, the obedience to Allah; second, the obedience to the Apostle and 'those vested with authority from among you' (*ulu’l-amr-i minkum*). The arrangement of the words shows that the obedience to *ulu’l-amr* is as much obligatory as is the obedience to the Apostle, though they are
certainly lower in rank than the Apostle. This verse clearly shows two things:

**First.** The authority of the Holy Prophet upon the believers was unlimited and all-comprehensive. Any order given by him, under any condition, in any place, at any time, was to be obeyed unconditionally.

**Second.** That supreme authority was given to him because he was infallible and free from all types of error and sin. Otherwise, God would not have ordered us to obey him unconditionally.

In this verse, *ulu'l-amr*, have been given exactly the same authority over the Muslims, because both the 'Apostle' and the *ulu 'l-amr* have been jointly mentioned under one word "obey"; which shows that the obedience of *ulu 'l-amr* has the same standing as the obedience of the Apostle. It naturally follows that *ulu'l-amr* must also be infallible and free from any type of error and sin.

Therefore, the only way to interpret this verse is to accept that it refers to the Twelve Imams from the Holy Prophet's household, the only people for whom the status of infallibility after the Prophet Mohamamd has ever been claimed. They were, in their times, the most knowledgeable, the most illustrious, the most God-fearing, the most pious, the highest in their family lineage, the best in personal virtues, and the most honoured before God; and their knowledge was derived from their ancestor (the Prophet) through their fathers,
and by inheritance and by direct inspirations from God. All the Shi'ite scholars unanimously hold that *ulu'l-amr* are meant to be the twelve Shi'ite Imams, the first of whom is Imam ‘Ali and the twelfth of whom is al-Imam Mahdi.

The next verse on the necessity of following those other than the Prophet is the verse of mastership (*wilayat*):

> Your guardian is only God, His Apostle, and the faithful who maintain the prayer and give the alms while bowing down. (5:55)

The Muslim scholars, Sunni and Shi‘a alike, agree that this verse was revealed in honour of Imam Ali. It clearly shows that there are only three types of masters for the believers. Firstly, God secondly, His Prophet and thirdly, Ali (with the eleven succeeding Imams).

The word *waliyy* in this verse signifies worldly and spiritual authority, and what is more is that the authority of the infallible Imams is juxtaposed with the authority of the Prophet and of God.

**Islamic Government during the Occultation of the Twelfth Imam**

During the occultation of the twelfth Imam, Islamic society requires the existence of a government as a power which is able to prevent violations of the law, and lack of the government is equivalent to the suspension of law, chaos and the violation of the rights of the
weak. For further clarification of the necessity for an Islamic
government during the occultation of the twelfth Imam, it will be
pertinent to refer to the following points:

There is no separation of religion from politics in Islam. Belief in
the necessity of forming a government and establishing an executive
system is a part of *wilayah*. Likewise, any attempt in this direction is
also part of the belief in *wilayah*. In other words, belief in *wilayah* is
tantamount to that of the acceptance of the leadership of the
infallible Imams. But a profound understanding of the declaration
of a divinely-appointed leader of Muslims by the Prophet would
make it clear that unqualified persons should not be allowed to rule
over the Muslims. Thus, anybody who believes in *wilayah* should
eschew entrusting the state to unqualified persons in the absence of
Imam Mahdi.

The nature of Islamic law indicates that it is possible to form a
government and manage the cultural, economic and political affairs
of a society. Firstly, comprehensiveness of the Islamic laws and
regulations ranging from the laws regarding the relations with one’s
neighbours, children, family, private affairs, matrimonial matters,
war, peace, relations with other countries, economy, trade, industry,
and agriculture all are meant for running the affairs of the society.
These points indicate that Islam seriously deals with political and
economic affairs. Secondly, a quick look at the nature of religious
commandments will prove that it is necessary to form a
government. Without forming a government it is not possible to execute these laws.

By establishing an Islamic government man can establish justice and execute the divine commandments. This particular feature of Islam is not only related to the Prophet's time but it is applicable to all times including the period of the absence of Imam Mahdi.

Some of the reasons behind appointment of ulu'l-amr (those vested with authority) by God and making their obedience obligatory are as follows. Firstly, people would feel duty-bound to follow certain rules that would rescue them from corruption. It is not possible to follow such rules unless power is entrusted to a trustworthy ruler. Secondly, the prosperity of nations depends on the existence of rulers who try to solve their temporal and spiritual problems. God, the Wise, never leaves His creatures (people) without a guide. The third reason is that in the absence of a just and qualified leader and guide, the religious commandments and orders would be ineffective or abused.

Thus, Islam is a comprehensive religion consisting of the laws on politics, society, economy, etc, and the Divine commandments are applicable to all societies in all eras. Religious commandments are not useful unless they are applied to form a state on the basis of divine guardianship. Moreover, in order to protect the Islamic system, control the borders of Muslims from any encroachment by
the enemy, and prevent disorder in Islamic society, it is necessary to form an Islamic government.

The leadership of an Islamic government has not been specifically entrusted to a particular person during the occultation of Imam Mahdi; rather a qualified leader has been characterised in a general way. Since the government is Islamic in nature, its ruler should possess at least the following two qualifications: he should have command over religious laws (fiqh) and he should be a just and righteous person.

The guardianship of the jurist

Basically, the basis of the thesis of Wilâyat-e faqih or the guardianship of the qualified jurisprudent, is the proposition that a person who is nearer to the station of infallibility should occupy the position of the infallible one, i.e. on the top of the pyramid of power, in order that this position may be occupied by the one with the best knowledge of the precepts and laws and their fundamental bases, and the one who has the most piety and self-control. By means of these two basic qualifications (expertise in jurisprudence and piety) it is at least possible that he will be less likely, intentionally or unintentionally, to transgress against values.

It should be emphasized that from an Islamic perspective no human has any intrinsic right to rule over another, even if he issues valid and just decrees. For all people, like other creatures, have been